

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/18/2002 MNEKONEN 00000012 041928 10044359

01 FC:101	740.00 CH
02 FC:122	130.00 CH

01/11/02

1032 U.S. PTO

01-14-02

Please type a plus sign (+) inside this box → +

Approved for use through 10/31/2002. OMB 0651-0032
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PTO/SB/05 (03-01)

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. BB1387 US CNT
 First Inventor RAFAEL HERRMANN ET AL.
 Title SCORPION TOXINS
 Express Mail Label No. EF117003701US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
 Applicant claims small entity status.
 See 37 C.F.R. 1.27.
3. ☒ Specification [Total Pages 35]
 (preferred arrangement set forth below)
 - Definition of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
 5. Oath or Declaration [Total Pages 1]
 a. ☐ Newly executed (original or copy)
 b. ☒ Copy from a prior application (37 C.F.R. 1.63 (d))
 (for a continuation/divisional with Box 18 completed)
 i. ☒ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s)
 named in the prior application. See 37 C.F.R.
 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
 Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☒ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
 10. ☐ 37 C.F.R. §3.73(b) Statement of Attorney
 (when there is an assignee)
 11. ☐ English Translation Document (if applicable)
 12. ☒ Information Disclosure Statement (IDS)PTO-1449
 13. ☐ Preliminary Amendment
 14. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
 15. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
 16. ☐ Nonpublication Request under 35 U.S.C. 122
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35
 or its equivalent.
 17. ☒ Other: Request for Transfer of CRF

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
 or in an Application Data Sheet under 37 C.F.R. 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
 which is a non-provisional of provisional application 60/140227 filed June 22, 1999.
 Prior application information: Examiner J. Goldberg

of prior application No. 09 / 599416 Filed on 6/22/00

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
 under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

23906

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

Name

City

Country

State

Zip Code

Telephone

Fax

Name (Print/Type)

PAUL D. GOLIAN

Registration No. (Attorney/Agent)

42,591

Signature

Date

1/11/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the
 amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 870

Complete if Known

Application Number UNKNOWN
Filing Date JANUARY 11, 2002
First Named Inventor RAFAEL HERRMANN ET AL.
Examiner Name UNKNOWN
Group / Art Unit UNKNOWN
Attorney Docket No. BB1387 US CNT

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 04-1928
Deposit Account Name E. I. du Pont de Nemours and Company

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	208	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	180	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740

2. EXTRA CLAIM FEES

Total Claims 14 -20 = 0 X 18 = 0
Independent Claims 2 -3 = 0 X 84 = 0
Multiple Dependent ☐ X 280 = 0

Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
108	84	208	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) PETITION TO CORRECT INVENTORSHIP

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130

SUBMITTED BY

Name (Print/Type) PAUL D. GOLIAN

Signature

Registration No. Attorney/Agent/ 42,591

Complete (if applicable)

Telephone 302-992-3749

Date 1/11/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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